## Introduction to

## Quantum Cryptography

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## Agenda

Introduction to quantum cryptography
The elements of quantum physics
Quantum key exchange
Technological challenges
Experimental results
Eavesdropping

## Two major areas of quantum cryptography

Quantum key exchange
exchanging bits securely via a quantum channel, with the help of a classical channel, which can be public but must be authentic

Cryptography on quantum computers Shor's algorithm, anything else?

## Quantum key exchange

Transferring data via a quantum channel is inefficient
used for key exchange only

Need a public classical channel
for coordinating the key exchange and transferring data

Can be used for one-time pad or with other symmetrical ciphers

The elements of quantum physics

## Unpolarized light through a polarizer



## Polarized light through another polarizer

 polarizer in front of a computer flat screen

## Polarized light through a polarizer



Horizontal polarization



Vertical polarization



Arbitrary linear polarization

## No light can pass orthogonal polarizers



## Same for photons



## Polarization state of a photon



## Polarization state of a photon



## Polarization state of a photon



## Polarization state of a photon



# Quantum indeterminism <br> a fundamental principle of quantum mechanics 

A physical system—such as a photon—exists partly in all its particular, theoretically possible states simultaneously; but, when measured or observed, it gives a result corresponding to only one of the possible configurations.

## Photons passing a polarizer



## Photons passing a polarizer



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## Photons passing a polarizer



## Two quantum states constitute a basis



## Two quantum states constitute a basis


two different bases

## Detecting a photon's state



A photon in either vertical or horizontal state

## A detector in the same basis yields 100\% accurate results



A detector in the same basis

## Photons passing a polarizer



## Using a wrong basis yields 50\% detection rate



A detector in the same basis

## Two important properties

In order to correctly identify the status of a photon, the basis must be known
quantum indeterminism

Measuring a photon destroys its state thus, no-cloning

The BB84 Protocol

## The BB84 Protocol

Relies on quantum indeterminism and no-cloning theorem

Can be used between Alice and Bob to "negotiate" a key through a quantum channel + a classical channel
the classical channel doesn't have to be confidential, but has to be authentic

Key is generated on-the-fly
neither Alice nor Bob knows the key beforehand

## The BB84 Protocol's steps

1. Key transmission through the quantum channel for getting a"raw key"
2. Error correction
for getting a"sifted key"
3. Key distillation
to counter man-in-the-middle attack

## Alice randomly generates a bit randomly and randomly choose a basis to generate a photon



# Alice randomly generates a bit randomly and randomly choose a basis to generate a photon 



## The photon Alice sends out can be in either four states




0

## Bob randomly choose a basis to measure the photon



## If Bob chooses the same basis as Alice



1
a correct measure can be got
0

## If Bob chooses the wrong basis



1


0
the measure result will have $50 \%$ chance to be correct

## Over all, Bob got a "raw key" with 25\% error rate

... without considering noise and man-in-themiddle attack,
and is too high for traditional error correction coding.

A classical channel is needed for coordinating the quantum communication
to transfer signals, like start, stop, sending a bit, etc., and it has to be authentic.

## QBER: Quantum Bit Error Rate

is the error rate of the sifted key
different from $B E R$, which is the error rate of an optical communication channel
can be caused by noise or eavesdropping in the quantum channel,
or imperfection of sending and receiving devices

# A straightforward error correction scheme: basis reconciliation 

Bob asks Alice whether the basis he used was correct or not
through an unencrypted public classical channel

Bits detected by using a wrong basis are discarded
The result is a more correct "sifted key"
can't be 100\% correct due to either noise or man-in-the-middle

Now, introducing the attacker Eve

## Eve's possible attacks

1. Cloning the photon
2. Intercept-resend
3. Intercept the public classical channel
4. Spoofing attack through the public channel

## 1. Perfect cloning a photon is impossible

Observing a photon irreversibly collapses it and corrupts the information it carries
because a measurement takes energy away from the photon

Mathematically proofed
Wootters-Zurek theorem

Note the "perfect" here, non-perfect cloning is possible
through a process called weak measure

## 2. Intercept-resend

Eve intercepts the photon, measures it in a random basis, and resent a new photon to Bob

Eve has a 50\% chance to steal a bit correctly
in which cases Bob and Alice won't be able to notice

In other cases, Eve guessed the wrong bases and introduces more errors into the quantum channel thus higher than noise level errors in a channel may indicate a man-in-the-middle attack

# 3. Intercept the public classical channel 4. Spoofing attack through the public channel 

Alice and Bob only exchanges bases information thus Eve can't get the key directly

After a key has been exchanged, all following communication in the classical channel can be encrypted

However, authentication remains a big issue

## Error correction

> Error rate in the sifted key can be detected by comparing part of the key through the classical channel
> those bits will be discarded

A simple error correction method: Alice randomly chooses pairs of bits and announces their XOR value. Bob replies either "accept" or "reject." They keep the first bit in the first case and discard the two bits in the second case.

How do they know when to stop this process?

# Use privacy amplification to reduce the information Eve may possess 

Alice announces two random locations, Alice and Bob then replace these two bits by their XOR value shrinks the key, also the bits Eve may possess

Bob must be possessing more information then Eve does for this algorithm to be useful

## Quantum secret growing

Alice and Bob needs to share a (short) secret beforehand for authentication

They can use quantum key exchange to get a longer key, thus "secret growing"

## Intuitive illustration of error correction and privacy amplification



## Other weaknesses

Relies on the quality of the random number generators

Relies on the authentication of the classical channel
Recently progress in weak measurement makes directly measuring a photon more efficient
thus Eve may intercept more information without disturbing the photon stream

## BB84 Protocol summary

Cool on paper
Somehow succeeded in experiments
Some products are available
Has many shortcomings
needs an authentic classical channel's help

Can be a complement to standard symmetrical cryptosystems

## Other protocols

## Two-state protocol

Two nonorthogonal states are necessary and enough

But not good in practice

## Six-state protocol

Uses three different bases
Simplifies security analysis
Reduces Eve's optimal information gain for a given error rate

## The EPR protocol



FIG. 3. Einstein-Podolsky-Rosen (EPR) protocol, with the source and a Poincaré representation of the four possible states measured independently by Alice and Bob.

Quantum teleportation as a "quantum one-time pad"

## Qubit

A two-state quantum system, such as the polarization of a photon. It can be in a superposition of both states at the same time.

It can be described in the bra-ket notion:

$$
\begin{aligned}
& |\psi>=a| 0>+\beta \mid 1> \\
& \left|\alpha^{2}\right|+\left|\beta^{2}\right|=1
\end{aligned}
$$

## Quantum entanglement

Two qubits can be entangled by some physical interact

Two qubits can be spatially separately
Measuring one qubit yields completely random result

But measuring the other bit subsequently yields the same result

## Quantum teleportation

Can be used to "teleport" a quantum system
by duplicating its state remotely onto another quantum system

Can be used to duplicate a quantum state can duplicate the quantum state matrix

Is not cloning
the original quantum system will be destroyed

## Quantum teleportation as a secret channel

A number of entangled qubits were distributed to two sides that need to communicate beforehand

Alice is sending $c$ to Bob
Alice measures her qubit and gets an $a$, sends $a$ XOR $c$ to Bob via a public channel

Bob measures his qubit and gets $b$, then $a$ XOR $c$ XOR $b$ generates $c$

## Quantum teleportation as a secret channel

Proofed secure
bits in the public channel is like being encrypted by using a onetime pad

Requires pre-deliver a large amount of entangled qubits

Relies on a classical channel too

## Technological challenges

## Optical amplification

## Due to non-clone theory, perfect amplification is not possible

Theoretically, cloning a photon can get at most 5/6 in fidelity

## Quantum nondemolition measurements

is a measure that doesn't destroy the photon
possible on orthogonal states when you know the state beforehand
by making the state an eigenstate, however, you can't gain extra information from this process

But it is possible to detect a photon without disturbing it (much)
will increase noise in the system

## Transmission media

|  | Fiber | Free space |
| :--- | :--- | :--- |
| Noise level | $0.2 \sim 0.35 \mathrm{~dB} / \mathrm{km}$ | higher |
| Wavelength | $1300 \sim 1550 \mathrm{~nm}$ | 800 nm |
| Speed | $<1 \mathrm{M}$ | $?$ |
| Distance | tens of km | $1 \sim 2 \mathrm{~km}$ |
| Cost | High | Low |

## Photons sources

Faint laser pulses
Photon pairs

## Experimental QC with Faint Laser Pulses

## General ideas

All implementations rely on photons
QBER increases as distances increases
current technology put the limit at 100 km

## Different codings

> Polarizing coding: 10 km , high QBER since preserving polarization in fibers is hard

Phase coding: lots of research and experiments, requires phase sync., not a single photon system, lower QBER (~ 1.4\%)

Frequency coding: easier to implement than phase coding, but has higher error rate

## Free-space line-of-sight applications

By 2000, key exchange over 1.6 km (daylight) and 1.9 km (nighttime) was achieved

Can be used with low-orbit satellites (300-1200 km)

# Experimental QC with Entangled Photon Pairs 

## Advantages of photon pairs

Better detection rate
single photon detectors have high dark-count probability

Better against eavesdropping

## QC using photon pairs

Polarization entanglement
Energy-time entanglement
Phase coding, phase-time coding

## Quantum secret sharing

Alice sends a split secret to Bob and Charlie
Either Bob or Charlie alone doesn't have any information of the key

Bob and Charlie can work together to get the key

## Eavesdropping

# An Eve only limited by quantum physics 

has unlimited resources
has access to future technologies

## Difficulties against an "omnipotent"Eve

## Eve can hide in noise

Eve can replace the quantum channel with better instruments of lower noise level
this can make discovering Eve very difficult

Eve also possesses all traditional methods of attacking
like attacking the RNG, tapping or spoofing the traditional channel, or even accessing the local storage of Alice or Bob

## Supply chain woes

Eve can be the device suppliers
Or bug the devices while they are in transit
Testing quantum equipment is very hard

## Three classes of attacks

## Individual attack

Eve attaches one probe to a qubit a time, and measures one a time

Joint attack
Eve processes several qubits collectively

## Collective attack

Attach one probe to a qubit a time, but measures several probes coherently

## Simple individual attacks

> Eve gets 0.5 bits of information per bit in the sifted key

> Induced QBER of 25\%

## Symmetric individual attacks

Eve probes a qubit, changing the possibility of each four states equally, thus called "symmetric-attack."


## Eve's info vs Bob's info



## Quantum nondemolition measurement attack

Taking advantage if Alice sends more than one photons with the same information
due to imperfection in devices

But considered impractical

## Trojan horse attacks

Eve sends pulses to Alice and Bob to understand their devices' status

May be thwarted technically
Illustrated that analyzing a QC system requires both physical and technical measures

## Conclusion of QC

Has some unique and interesting features
Is the cross of quantum mechanics and information theory

Has lots of technological limitations
Is developing rapidly
Some products are on market
Can't significantly improve communication security (yet)

## The End

## Questions \& discussion?

